



# **SOCIAL SERVICES FISCAL ESSENTIALS 101**

**BY**

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**AND**

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# Expectations

**What would you like to get out of this class?**





# Agenda

- **Go over the Basics - Funding**
- **Dive into Realignment**
- **Have some fun**
- **Conversation about leveraging opportunities**



# Funding / Revenue Components

- **Federal Participation**
- **State Participation**
- **Realignment**
- **County Participation**
- **Grants**
- **Other/ Miscellaneous**





# Federal Funding

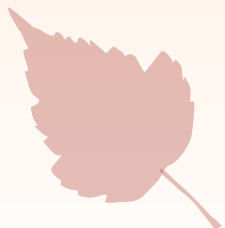
- **Federal fiscal year is October 1-Sept 30**
- **The majority of our mandated program funding begins at the federal level.**
- **Federal program regulations describe the funding parameters that are to be used for each federal funding source.**





# State General Funding

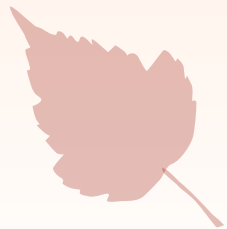
- **State fiscal year is July 1- June 30**
- **The State budget delineates how much State General funds will be available**
  - **State General Fund is used to draw down Federal dollars**
  - **There are also State only programs.**
- **Allocations letters delineates how much each County will receive.**





# Realignment Funding

- **Realigning of Sales Tax and Vehicle License Fees to cover the State and County Share of costs**
- **Social Services is funded with two Realignment pool**
  - **1991 Realignment**
  - **2011 Realignment**



# Chart of Fiscal Years

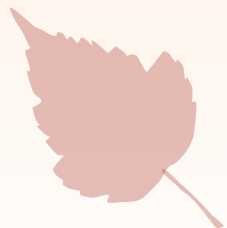
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	
<b>COUNTY</b>	[Shaded]															
<b>STATE</b>	[Shaded]															
<b>REALIGNMENT</b>			[Shaded]													
<b>FEDERAL</b>				[Shaded]												





# Maintenance of Effort (MOE)

- **A MOE is a set level of County financial responsibility**
- **Programs with MOE's:**
  - **CalWORKs/CalFresh Combined**
  - **IHSS**



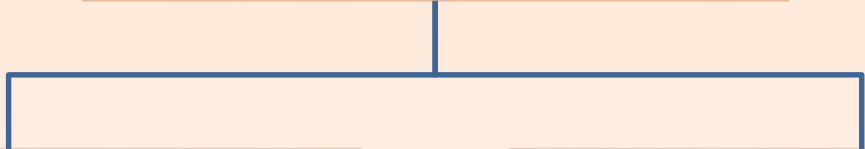
# MOE (cont'd)

- **Overspending capped allocations can cause the County to exceed their MOE**
- **The county will never pay less than the MOE.**



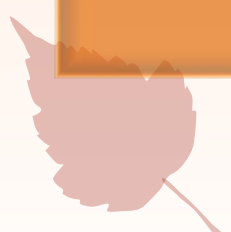


**PROGRAM**





**ASSISTANCE  
(CA800)**

**ADMINISTRATION  
(CEC)**



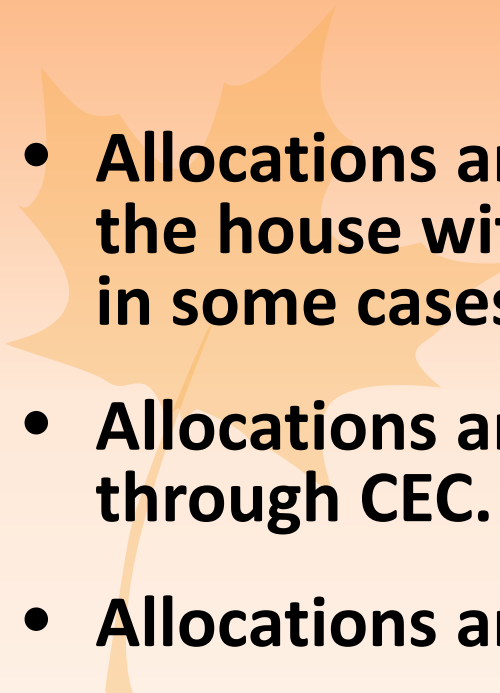




# Assistance

- **CalWORKs Assistance costs are considered Entitlement programs (uncapped), not allocated to counties, and are now realigned.**
  - **Foster Care and Adoptions Assistance programs are funded with Federal funds, Realignment, and County General funds.**
  - **IHSS is funded through Title XIX and moved to the new MOE model, making the State share vary**
- 
- 



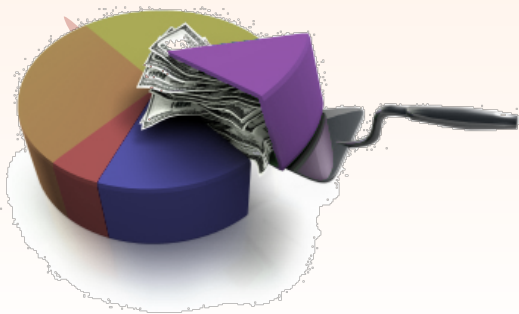
# Administration

- Allocations are made to the administrative side of the house with capped State funding streams, and in some cases, uncapped Federal Funds
  - Allocations are reimbursed by the expenditures through CEC.
  - Allocations are not controlled at the detail level.
  - Allocations are only good for one fiscal year! Use it or lose it.
- 
- 
- 



# Allocation Development

- **Each Allocation uses a different methodology/ies to develop County Specific Amounts**
- **Usually outlined in Allocation Letter**
- **Outlined in Annual Allocation Matrix developed by the CWDA FAAD's workgroup**



# Allocation Matrix Produced by CWDA

## ALLOCATION MATRIX

ALLOCATION CATEGORIES	SPECIFIC ALLOCATION	METHODOLOGY OF CALCULATION	DATA SOURCE(S)		DATA COLLECTION PERIODS
			ALLOCATIONS	ESTIMATES	
Welfare Allocations	1) Foster Parent Training and Recruitment CFL 07/08-11	<ul style="list-style-type: none"> <li>Training funds are allocated based on the average number of children placed in out-of-home care, excluding group homes; foster family agencies; and probation.</li> <li>Recruitment Funds are allocated based on average monthly number of children in out-of-home placement, excluding probation.</li> </ul>	<ul style="list-style-type: none"> <li>Use CWS/CMS data as reported by counties.</li> <li>Adjusted for Title IV-E waiver counties.</li> <li>No county receives less than prior year allocation.</li> <li>Data Collection Period: Calendar Year 2006</li> </ul>	<ul style="list-style-type: none"> <li>Adjusted for Title IV-E waiver counties issued separately.</li> </ul>	
	2) Independent Living Program CFL - 07/08-07	<ul style="list-style-type: none"> <li>Allocation is based on one quarter of the revised FFY 06 allocation plus three quarters of the estimated FFY 2007 grant less funding for State operations.</li> <li>\$40,000 minimum floor remains unchanged.</li> </ul>	<ul style="list-style-type: none"> <li>1/2 funds distributed using each county's percentage share to the statewide total of age 0-17 from the 2000 census. 1/2 distributed using each county's share of statewide total children in poverty from 1997 census.</li> </ul>	<ul style="list-style-type: none"> <li>Subject to Federal Grant appropriation.</li> <li>General fund portion is frozen.</li> </ul>	
	3) Supportive and Therapeutic Program (STOP) CFL 07/08-05	<ul style="list-style-type: none"> <li>County allocations are based on 06-07 levels.</li> <li>A minimum allocation of \$5,676 for participating counties. This amount is based on the projected FY 07/08 annual cost per child for mental health services.</li> </ul>		<ul style="list-style-type: none"> <li>Same as Allocations</li> </ul>	
	4) State Family Preservation Permanent Transfer CFL 07/08-16	<ul style="list-style-type: none"> <li>Counties are required to match the funds at the nonfederal sharing ratio of the 70% State and 30% County.</li> <li>County specific allocations calculated by Estimates branch and distributed via CFL by CFAB.</li> </ul>	<ul style="list-style-type: none"> <li>Same as Estimates.</li> <li>Only 15 counties participating as of Fiscal Year 07-08.</li> </ul>	<ul style="list-style-type: none"> <li>This allocation is distributed to counties that submit an annual plan to the state.</li> <li>Federal Title IV-E, nonfederal, and federal Title XIX funding levels based on 01/02 expenditure data.</li> </ul>	<ul style="list-style-type: none"> <li>No data collected.</li> </ul>
	5) Adoptions Program Basic Costs CFL 07/08-17	<ul style="list-style-type: none"> <li>The Adoptions Program allocation is based on county specific unit cost calculation from FY 01/02, which was multiplied by each county's performance agreement full-time equivalents to determine each county's individual allocation.</li> <li>Outcomes allocation was distributed based on each county's percent to total Adoptions Program Basic allocation.</li> </ul>	<ul style="list-style-type: none"> <li>County performance agreement with CDSS for # of adoptions per worker per year. This is multiplied by a unit cost based on FY 2001-2002 actual expenditures.</li> </ul>	<ul style="list-style-type: none"> <li>County Specific</li> </ul>	



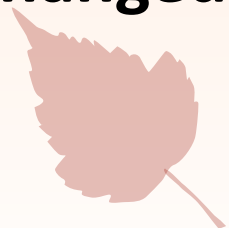


# 1991 Realignment



**There are two dedicated revenue sources to fund the programs:**

- **A one-half cent increase in the State sales tax**
- **An increase in vehicle license fees**
- **Changed with AB85**

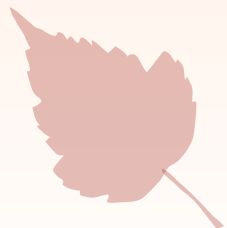






# 1991 Realignment Programs

- AB 8 County Health Services
- Local Health Services
- California Children's Services
- Indigent Health
- CalWORKs
- Employment Services
- County Services Block Grant
- In-Home Supportive Services
- Foster Care
- CWS
- Adoptions
- County Stabilization Subvention
- County Juvenile Justice Subvention (AB90)
- Mental Health
- EPSDT
- Managed Care





# 1991 Realignment Program Ratios

## Program

## Old Share (non-Fed)

## New 1991 Share (non-Fed)

CalWORKs Aid Payments

11%

5%

CalWORKs Eligibility

50%

30%

Foster Care

5%

60%

Child Welfare Services

24%

30%

Adoptions Assistance

0%

25%

CalWORKs Employment Services

0%

30%

In-Home Supportive Services

3%

35%

County Services Block Grant

16%

30% total

California Children's Services

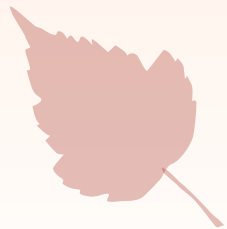
25%

50% total



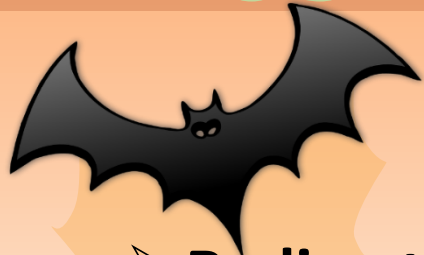
# CalWORKs MOE Realignment

- **AB 118 and ABX116**
- **The intent of this legislation is to limit the county's share of cost to the amount of funds received in its CalWORKs MOE Subaccount.**





# AB85 Impacts



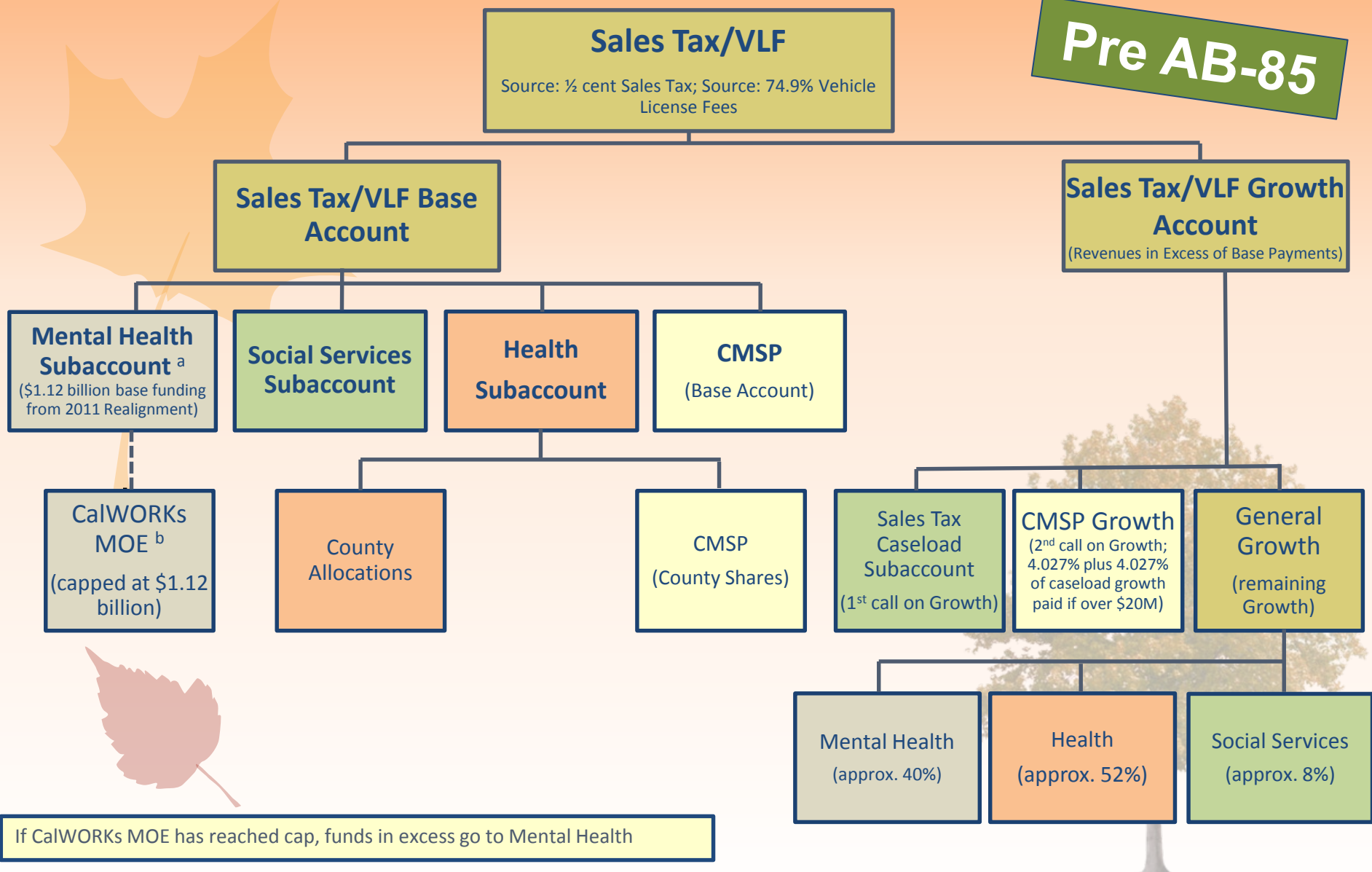
- **Redirection of Health Realignment**
  - **New accounts (Child Poverty & Family Support)**
  - **Type of County formula**
- **VLF and Sales Tax Swap**
- **Changes/Redirection in Growth**



# 1991 REALIGNMENT STRUCTURE - STATE

## SALES TAX/VLF DISTRIBUTIONS

**Pre AB-85**

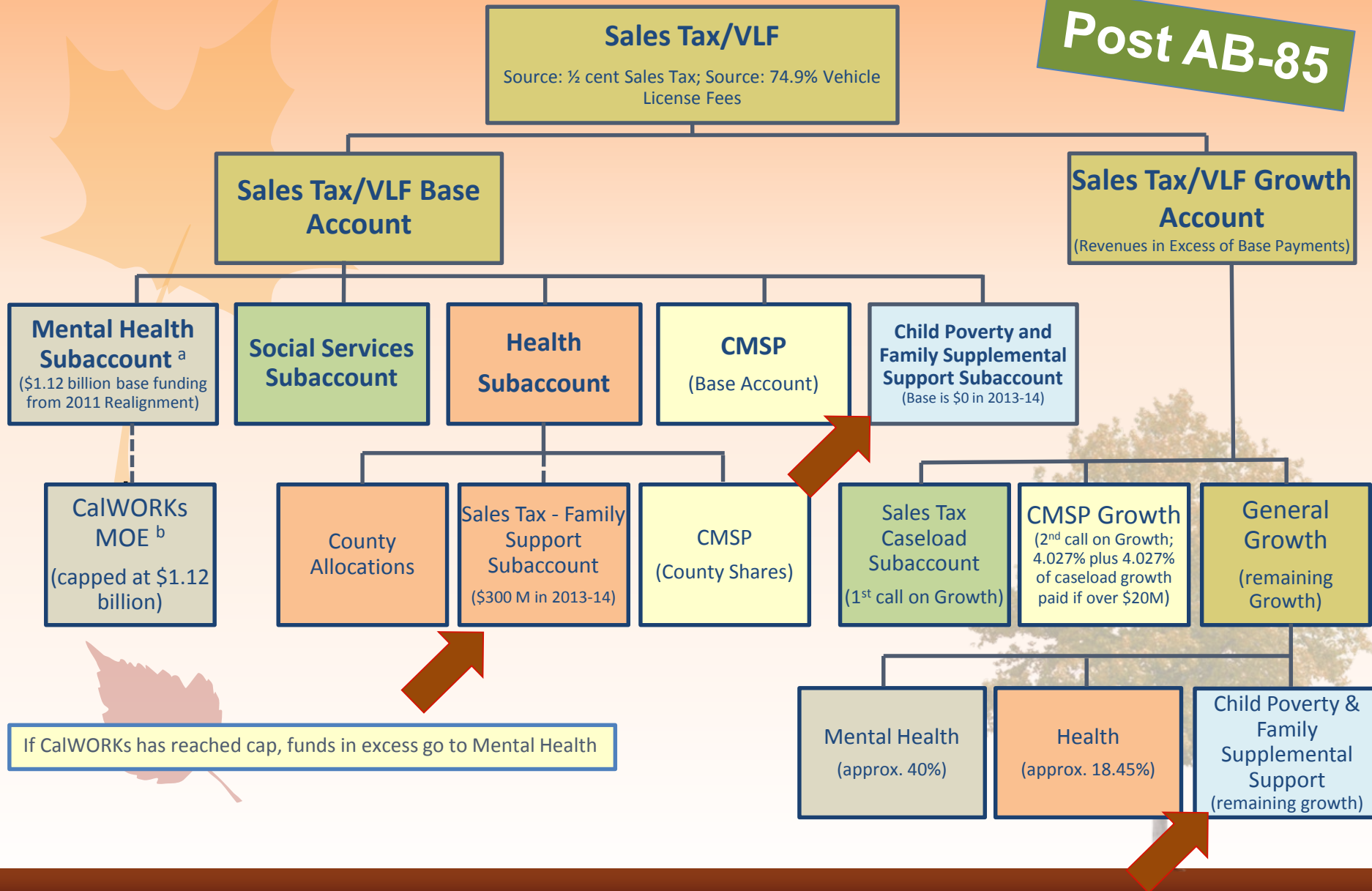


If CalWORKs MOE has reached cap, funds in excess go to Mental Health

# 1991 REALIGNMENT STRUCTURE - STATE

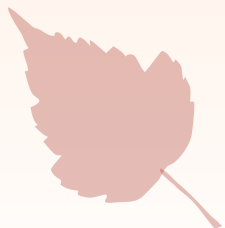
## SALES TAX/VLF DISTRIBUTIONS

**Post AB-85**



# 2011 Realignment

- **Instead of State General fund allocations, Counties will receive a % of 2011 realignment funding.**
- **Increased risk to Counties if sales tax and VLF do not materialize**



# Sharing Ratios

## HUMAN SERVICES FUNDING PROGRAM SHARING RATIOS (as of Sep 1, 2016)

SERVICES

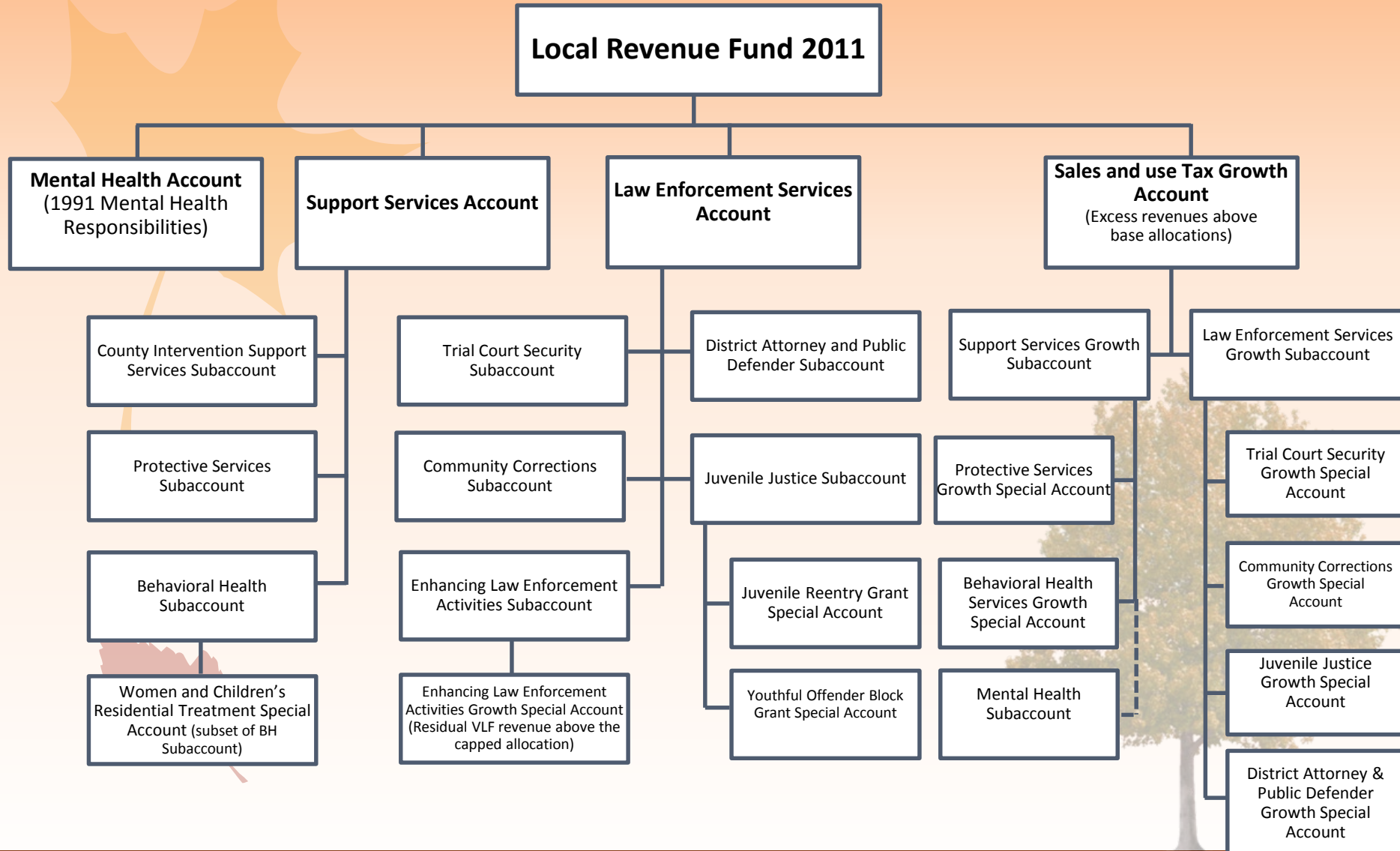
	FEDERAL	STATE	REALIGNMENT 2011	COUNTY SHARE POST 1991 REALIGNMENT
<b>CWS</b>	50% Eligible costs, Title IV-E  75% SPMP, Title XIX  50% HR, Title XIX 75% Title IV-B		70% NF Share	30% NF Share
<b>IHSS Admin</b>	75% SPMP, Title XIX 50% HR, Title XIX	State Share varies depending on MOE		MOE
<b>CSBG/APS</b>	75% SPMP, Title XIX  50% HR, Title XIX		70% NF Share	MOE
<b>Licensing</b>	50% Eligible costs, Title IV-E	100% NF Share		None
<b>Adoptions</b>	50% Eligible costs, Title IV-E		100% NF Share	None
<b>PSSF</b>	100%	None	None	None
<b>CAPIT</b>	None		100% Realigned	None
<b>State Family Preservation</b>	50% Eligible costs, Title IV-E		70% NF Share	30% NF Share
<b>Training</b>	75% Title IV-E		70% NF Share	30% NF Share





# Local Revenue Fund 2011

## State Structure





# State Structure for Support Services

## for FY 2013-14

**Local Revenue Fund 2011**  
**\$6,377,624,000**

**Support Services Account**  
**\$2,829,353,586**

**Sales and Use Tax Growth Account**  
(Excess revenues above base allocations)  
**\$278,811,530**

**Protective Services Subaccount**  
(63% or up to capped allocation)  
**\$1,836,990,532**

**Behavioral Health Subaccount**  
(37% or up to capped allocation)  
**\$992,363,053**

**Support Services Growth Subaccount (65%)** **\$181,227,494**

**County Intervention Support Services Subaccount**

Women and Children's Residential Treatment Special Account  
(subset of BH Subaccount) **\$5,104,000**

**Protective Services Growth Special Account (40% for CWS and 22% general)**  
**\$112,016,714**

**Behavioral Health Services Growth Special Account (33%)**  
**\$60,149,405**

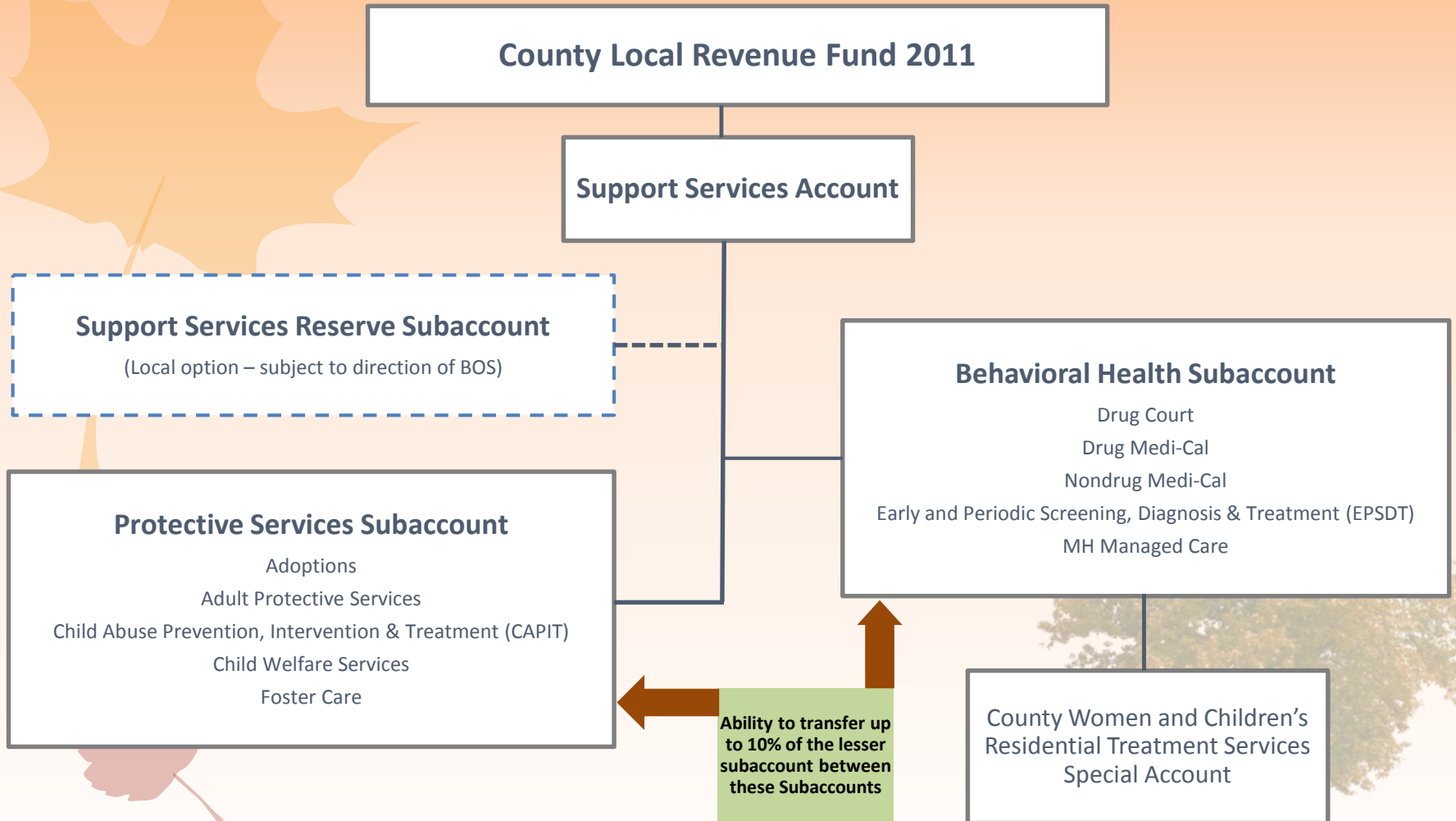
**Mental Health Subaccount (5%)** **\$9,061,375**

\* Growth amounts are estimates



# County Local Revenue Fund 2011

## Support Services





# 2011 Realignment Programs

- Foster Care
- CWS
- Adoptions
- Adult Protective Services
- Child Abuse Prevention, Intervention & Treatment (CAPIT)
- Women and Children's Residential Treatment
- Drug Medical
- Nondrug Medical
- Drug Court
- Mental Health
- EPSDT
- Managed Care
- Law Enforcement
  - Trial Court Security
  - District Attorney and Public Defender
  - Juvenile Justice
  - Community Corrections
  - Local Public Safety Subventions

# Intersection of Realignment Programs

## 1991 Realignment

- AB 8 County Health Services
- Local Health Services
- California Children's Services
- Indigent Health
- CalWORKs
- Employment Services
- County Services Block Grant
- In-Home Supportive Services
- County Stabilization Subvention
- County Juvenile Justice Subvention (AB90)

## Shared

- Foster Care
- CWS
- Adoptions
- Mental Health
- EPSDT
- Managed Care

## 2011 Realignment

- Adult Protective Services
- Child Abuse Prevention, Intervention & Treatment (CAPIT)
- Women and Children's Residential Treatment
- Drug Medical
- Nondrug Medical
- Drug Court
- Law Enforcement
  - Trial Court Security
  - Juvenile Justice
  - District Attorney and Public Defender
  - Community Corrections
  - Local Public Safety Subventions



# Things to Consider

- **Several Years of Growth Adjustments**
- **CWS Augmentation**
- **Base Restoration**
  - **1991 Realignment – how does this work?**





# 1991 Realignment – Theory vs Reality

## “1991 Realignment Social Services (Sales Tax and VLF) – Full Funding Assertion”

9/9/2014

### 1991 REALIGNMENT (SALES TAX AND VLF) SOCIAL SERVICES FULL FUNDING ASSERTION

#### IN THEORY

- Each year State Controller's Office (SCO) publishes a base amount of realignment (equivalent to its prior year amount of realignment), plus growth for caseload, and possibly "general growth"
- The base amount plus the growth amount becomes the next year's "Base amount"
- These funds flow annually and are available to cover the county share of the 1991 Social Services Realignment programs

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	Total
Base *	1,638,646,354	1,732,860,104	1,838,249,556	1,943,222,110	1,987,394,879	1,987,481,703	2,028,209,557	13,156,064,265
growth (caseload)**	91,543,337	105,389,452	104,972,554	44,172,769	86,824	40,727,854	102,275,815	489,168,605
growth (general)	2,670,413						13,748,615	16,419,028
<b>Total</b>	<b>1,732,860,104</b>	<b>1,838,249,556</b>	<b>1,943,222,110</b>	<b>1,987,394,879</b>	<b>1,987,481,703</b>	<b>2,028,209,557</b>	<b>2,144,233,987</b>	<b>13,661,651,898</b>

\* note: The "base" amount in FY 06/07 (\$1.6 billion) is the actual base amount from SCO website

\*\* note: These are the actual statewide caseload growth amounts, per CDSS and SCO

#### IN REALITY

- The flow of realignment revenue (sales tax and VLF) is based on the economy and not tied directly to costs
- Realignment has not worked over the past years and has forced counties to manage to available resources
- The data demonstrates that it can take over five years to receive owed caseload growth
- Even when caseload growth is paid, there is no "re-payment" for the years it was owed but not paid
- The FY 12/13 base amount is still less than the FY 06/07 Realignment

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11*	FY 11/12**	FY 12/13***	Total
Base	1,638,646,354	1,629,011,635	1,420,042,920	1,365,852,335	1,365,852,335	1,475,796,532	1,724,575,703	10,619,777,813
growth for 06/07	17,138,152				74,405,185			91,543,337
growth for 07/08						65,908,469		105,389,452
growth for 08/09						104,972,554		104,972,554
growth for 09/10						44,172,769		44,172,769
growth for 10/11						86,824		86,824
growth for 11/12							7,089,299	40,727,854
growth for 12/13								102,275,815
growth (general)	2,670,413						13,748,615	16,419,028
<b>Total</b>	<b>1,658,454,920</b>	<b>1,629,011,635</b>	<b>1,420,042,920</b>	<b>1,365,852,335</b>	<b>1,479,738,503</b>	<b>1,724,575,703</b>	<b>1,847,689,432</b>	<b>11,125,365,446</b>
Base compared to 06/07		(29,443,285)	(238,412,000)	(292,602,584)	(178,716,417)	66,120,783	189,234,512	

The amounts listed are from the SCO website on 1991 Realignment: [http://www.sco.ca.gov/ard\\_payments\\_realign.html](http://www.sco.ca.gov/ard_payments_realign.html)

\*The growth payments listed as received for FY 10/11, were actually paid Sept 27,2011 (in FY 11/12)

\*\*The growth payments listed as received for FY 11/12, were actually paid 10/18/2012 (FY 12/13)

\*\*\*The growth payments listed as received for FY 12/13, were actually paid 11/22/2013 (FY 13/14)

#### VARIANCE BETWEEN THEORY AND REALITY

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	Total
Base loss/gain	0	(103,848,470)	(418,206,636)	(577,369,775)	(621,542,544)	(511,685,172)	(303,633,855)	(2,536,286,452)
Growth	(74,405,185)	(105,389,452)	(104,972,554)	(44,172,769)	113,799,344	208,051,317	7,089,299	(0)
Variance	(74,405,185)	(209,237,922)	(523,179,190)	(621,542,544)	(507,743,200)	(303,633,855)	(296,544,556)	(2,536,286,452)

# Quick Look Model

## MODEL FOR REALIGNMENT MOVING FORWARD

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	Total
Base	1,638,646,354	1,629,011,635	1,420,042,920	1,365,852,335	1,365,852,335	1,475,796,532	1,724,575,708	10,619,777,814
growth for 06/07	17,138,152				74,405,185			91,543,337
growth for 07/08					39,480,983	65,908,469		105,389,452
growth for 08/09						104,972,554		104,972,554
growth for 09/10						44,172,769		44,172,769
growth for 10/11						86,824		86,824
growth for 11/12						33,638,555	7,089,299	40,727,854
growth for 12/13							102,275,815	102,275,815
growth (general)		2,670,413					13,748,615	16,419,028
<b>Total</b>	<b>1,658,454,920</b>	<b>1,629,011,635</b>	<b>1,420,042,920</b>	<b>1,365,852,335</b>	<b>1,479,738,503</b>	<b>1,724,575,708</b>	<b>1,847,689,432</b>	<b>11,125,365,448</b>
Base compared to PRIOR YEAR		(29,443,285)	(208,968,715)	(54,190,585)	113,886,168	244,837,200	123,113,729	
% of change from PRIOR YEAR		-1.78%	-12.83%	-3.82%	8.34%	16.55%	7.14%	

\*Based on Theory vs Reality worksheet

## QUICK LOOK MODEL

BASE YEAR	2015-16						
Percent of anticipated change in comparison to the last recession		100%	80%	100%	100%	75%	100%

FISCAL YEAR	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22	Total
PERCENT OF CHANGE		-1.78%	-10.26%	-3.82%	8.34%	12.41%	7.14%	
Base	1,658,454,920	1,658,454,920	1,629,011,635	1,461,836,663	1,406,051,177	1,523,289,166	1,712,321,478	11,049,419,960
Increase/ Decrease per Trend		(29,443,285)	(167,174,972)	(55,785,486)	117,237,989	189,032,312	122,238,926	176,105,485
Case load Growth (1991)								0
Growth								0
<b>TOTAL</b>	<b>1,658,454,920</b>	<b>1,629,011,635</b>	<b>1,461,836,663</b>	<b>1,406,051,177</b>	<b>1,523,289,166</b>	<b>1,712,321,478</b>	<b>1,834,560,405</b>	<b>11,225,525,445</b>



Demo





# Fun Zone



WHAT'S BEHIND THESE PUMPKINS?



The 'treat' icon

Each correct answer is worth 10 points



A single ghost means the team loses half their points



Three ghosts mean the team loses all their points

Each pumpkin has either a question (Treat) or a ghost/ghosts (Trick).

Pat Sajak (Monica) or Vanna White (Robert) will choose the team to start the game.

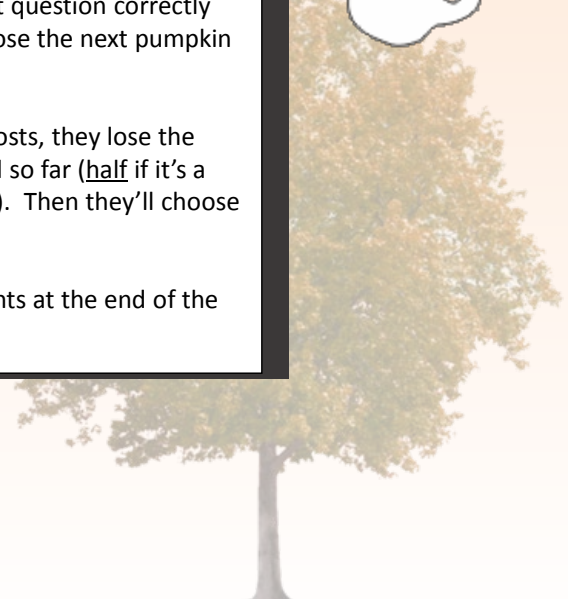
If a team chooses a number with a question, ANY team can raise their paddle to answer the question.

If their answer is incorrect, they lose 10 points and Pat or Vanna will choose another team.

The team that answered the last question correctly earns 10 points and gets to choose the next pumpkin to reveal.

If the team chooses a ghost/ghosts, they lose the points that they've accumulated so far (half if it's a single ghost or all if it's 3 ghosts). Then they'll choose another number to reveal.

The team that has the most points at the end of the game WINS.



# Opportunities with Realignment Funding

- **LOOK FOR THE INTERSECTIONS**
- **LOOK AT CURRENT PROGRAMS/ CHANGE IN PROGRAMS**
- **Possibility?: SB-163 Wrap-Around Services**
- **Possibility?: Katie A.**
- **Possibility?: Preventative Services**
- **With CCR?**



# Opportunities with Realignment Funding

- **Flexibility varies by County based on Accounting Structure**
- **Deferred revenue may allow for multi-year planning**
- **Sales tax dollars provide opportunities for non-fed match for the realigned programs**



# Opportunities within the (CEC)

- **Access to Open-ended Federal Funding**
  - Health-Related Title XIX for CSBG, IHSS, CWS
  - Title IV-E for CWS, FC
  - Examples: Public Health Nurses and/or Mental Health Clinicians in Adult/Children's Services
- **Internal Braiding**
  - Linkages supported by CWS and/or CalWORKs



# Braided & Leveraging Funding

- **Partnership to combine resources with other entities**
  - “local match” to access Federal/State program funds
  - provide a specific service or serve a specific customer population
- **Federal Funding Matrix- Allowable Usage of Local Match Funds**



**Thank You**





WHAT'S BEHIND THESE PUMPKINS?



The 'treat' icon

Each correct answer is worth 10 points



A single ghost means the team loses half their points



Three ghosts mean the team loses all their points

Each pumpkin has either a question (Treat) or a ghost/ghosts (Trick).

Pat Sajak (Monica) or Vanna White (Robert) will choose the team to start the game.

If a team chooses a number with a question, ANY team can raise their paddle to answer the question.

If their answer is incorrect, they lose 10 points and Pat or Vanna will choose another team.

The team that answered the last question correctly earns 10 points and gets to choose the next pumpkin to reveal.

If the team chooses a ghost/ghosts, they lose the points that they've accumulated so far (half if it's a single ghost or all if it's 3 ghosts). Then they'll choose another number to reveal.

The team that has the most points at the end of the game WINS.







# REALIGNMENT



What are the two revenue sources for Realignment funding?



**BACK**



# 2011 REALIGNMENT



What funding source did  
2011 Realignment replace?

**BACK**



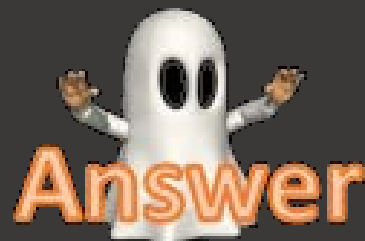


# 1991 REALIGNMENT



What funding is 1991 Realignment intended to cover?

**BACK**





# REALIGNMENT



Which Realignment gets  
Caseload growth?

**BACK**





# FUNDING / REVENUE



What time period does the  
Federal Fiscal Year cover?

**BACK**





MOE



What does MOE stand for?

**BACK**





MOE



What programs have an MOE?

**BACK**





# FUNDING / REVENUE



What are the main sources of funding used for Social Services programs?

**BACK**







# CLAIMS



What are the two main claims completed by Social Services Departments?

**BACK**





# CLAIMS



What type of costs go on a CA800 Assistance claim?

**BACK**





# CLAIMS



What type of claim is filed  
Quarterly with the State?

**BACK**





# ALLOCATIONS



Allocations are usually for what type of funds?

BACK





# FUNDING



How is overspending in programs covered with funding?

**BACK**





# ALLOCATIONS



Is funding associated with allocations able to rollover the following year?

**BACK**





# REALIGNMENT ANSWER



Sales Tax and  
Vehicle License Fee

**BACK**



# 2011 REALIGNMENT ANSWER



State General Funds

**BACK**





# 1991 REALIGNMENT ANSWER



County Share

**BACK**



# REALIGNMENT ANSWER



1991 Realignment

**BACK**



# FUNDING / REVENUE ANSWER



October 1 – September 30

**BACK**



# MOE ANSWER



Maintenance of Effort

**BACK**



# MOE ANSWER



CalWORKs/CalFresh  
and IHSS

**BACK**



# FUNDING / REVENUE ANSWER



Federal, State, County General Fund  
Realignment (1991 & 2011)

**BACK**



# CLAIMS ANSWER



Assistance (CA800) and  
Administration (CEC)

**BACK**



# CLAIMS ANSWER



Benefits paid directly to the client

**BACK**





# CLAIMS ANSWER



County Expense Claim  
(CEC)

**BACK**



# ALLOCATIONS ANSWER



Administration and  
State General Funds

**BACK**



## FUNDING ANSWER



Generally, County General Funds will cover program overspending, with possible federal fund open-ended matching

**BACK**



# ALLOCATIONS ANSWER



No.

**BACK**

**1991 REALIGNMENT (SALES TAX AND VLF)**  
**SOCIAL SERVICES**  
**FULL FUNDING ASSERTION**

9/9/2014

**IN THEORY**

\* Each year State Controller's Office (SCO) publishes a base amount of realignment (equivalent to its prior year amount of realignment), plus growth for caseload, and possibly "general growth"

\* The base amount plus the growth amount becomes the next year's "Base amount"

\* These funds flow annually and are available to cover the county share of the 1991 Social Services Realignment programs

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	Total
Base *	1,638,646,354	1,732,860,104	1,838,249,556	1,943,222,110	1,987,394,879	1,987,481,703	2,028,209,557	13,156,064,265
growth (caseload)**	91,543,337	105,389,452	104,972,554	44,172,769	86,824	40,727,854	102,275,815	489,168,605
growth (general)	2,670,413						13,748,615	16,419,028
<b>Total</b>	<b>1,732,860,104</b>	<b>1,838,249,556</b>	<b>1,943,222,110</b>	<b>1,987,394,879</b>	<b>1,987,481,703</b>	<b>2,028,209,557</b>	<b>2,144,233,987</b>	<b>13,661,651,898</b>

\* note: The "base" amount in FY 06/07 (\$1.6 billion) is the actual base amount from SCO website

\*\* note: These are the actual statewide caseload growth amounts, per CDSS and SCO

**IN REALITY**

\* The flow of realignment revenue (sales tax and VLF) is based on the economy and not tied directly to costs

\* Realignment has not worked over the past years and has forced counties to manage to available resources

\* The data demonstrates that it can take over five years to receive owed caseload growth

\* Even when caseload growth is paid, there is no "re-payment" for the years it was owed but not paid

\* The FY 12/13 base amount is still less than the FY 06/07 Realignment

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11*	FY 11/12**	FY 12/13***	Total
Base	1,638,646,354	1,629,011,635	1,420,042,920	1,365,852,335	1,365,852,335	1,475,796,532	1,724,575,703	10,619,777,813
growth for 06/07	17,138,152				74,405,185			91,543,337
growth for 07/08					39,480,983	65,908,469		105,389,452
growth for 08/09						104,972,554		104,972,554
growth for 09/10						44,172,769		44,172,769
growth for 10/11						86,824		86,824
growth for 11/12						33,638,555	7,089,299	40,727,854
growth for 12/13							102,275,815	102,275,815
growth (general)	2,670,413						13,748,615	16,419,028
<b>Total</b>	<b>1,658,454,920</b>	<b>1,629,011,635</b>	<b>1,420,042,920</b>	<b>1,365,852,335</b>	<b>1,479,738,503</b>	<b>1,724,575,703</b>	<b>1,847,689,432</b>	<b>11,125,365,446</b>

Base compared to 06/07

(29,443,285)

(238,412,000)

(292,602,584)

(178,716,417)

66,120,783

189,234,512

The amounts listed are from the SCO website on 1991 Realignment: [http://www.sco.ca.gov/ard\\_payments\\_realign.html](http://www.sco.ca.gov/ard_payments_realign.html)

\*The growth payments listed as received for FY 10/11, were actually paid Sept 27,2011 (in FY 11/12)

\*\*The growth payments listed as received for FY 11/12, were actually paid 10/18/2012 (FY 12/13)

\*\*\*The growth payments listed as received for FY 12/13, were actually paid 11/22/2013 (FY 13/14)

**VARIANCE BETWEEN THEORY AND REALITY**

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	Total
Base loss/gain	0	(103,848,470)	(418,206,636)	(577,369,775)	(621,542,544)	(511,685,172)	(303,633,855)	(2,536,286,452)
Growth	(74,405,185)	(105,389,452)	(104,972,554)	(44,172,769)	113,799,344	208,051,317	7,089,299	(0)
Variance	(74,405,185)	(209,237,922)	(523,179,190)	(621,542,544)	(507,743,200)	(303,633,855)	(296,544,556)	(2,536,286,452)

**HUMAN SERVICES FUNDING  
PROGRAM SHARING RATIOS  
(as of Sep 1, 2016)**

**SERVICES**

	<b>FEDERAL</b>	<b>STATE</b>	<b>REALIGNMENT 2011</b>	<b>COUNTY SHARE POST 1991 REALIGNMENT</b>
<b>CWS</b>	50% Eligible costs, Title IV-E 75% SPMP, Title XIX 50% HR, Title XIX 75% Title IV-B		70% NF Share	30% NF Share
<b>IHSS Admin</b>	75% SPMP, Title XIX XIX	State Share varies depending on MOE		MOE
<b>CSBG/APS</b>	75% SPMP, Title XIX 50% HR, Title XIX		70% NF Share	MOE
<b>Licensing</b>	50% Eligible costs, Title IV-E	100% NF Share		None
<b>Adoptions</b>	50% Eligible costs, Title IV-E		100% NF Share	None
<b>PSSF</b>	100%	None	None	None
<b>CAPIT</b>	None		100% Realigned	None
<b>State Family Preservation</b>	50% Eligible costs, Title IV-E		70 % NF Share	30% NF Share
<b>Training</b>	75% Title IV-E		70 % NF Share	30% NF Share

ELIGIBILITY-ADMINISTRATION

	FEDERAL	STATE	REALIGNMENT 2011	COUNTY SHARE POST 1991 REALIGNMENT
CalWORKS	100%	MOE		MOE
Food Stamps	50% SNAP	70% NF Share		30% NF Share, included in CalWORKS MOE
Medi-Cal	65% Title XIX	35% State		None
CMSP	None	100% State		None
Foster Care	50% Title IV-E		70% NF Share	30% NF Share
General Relief	None	None		100%
Adoption Assistance	50% Title IV-E		50%	None

ASSISTANCE

CalWORKs (Aid Codes: 30 series)	50% TANF	*State Share varies due to MOE		Realignment 1991 MH/MOE. % varies
Foster Care Assistance (Aid Codes: 40 & 42)	50% eligible costs, Title IV-E		40% NF Share	60% NF Share
Adoption Assistance (Aid Codes: 03 & 04)	50% eligible costs, Title IV-E		75% NF Share	25% NF Share
General Relief (Aid Code assigned locally)	None	None	None	100% County
IHSS Program (Wages and Benefits)	50% Title XIX	State Share varies		MOE
SED (Aid Code: 05)	N/A (Moved to Education)	N/A (Moved to Education)	N/A (Moved to Education)	N/A (Moved to Education)

\* Calworks MOE % Realignment to change as per AB85 - dependent on a yearly passage of % increase determined by the State Legislature in October of every year. This increase will have no County share of Cost

**MODEL FOR REALIGNMENT MOVING FORWARD**

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	Total
Base	1,638,646,354	1,629,011,635	1,420,042,920	1,365,852,335	1,365,852,335	1,475,796,532	1,724,575,703	10,619,777,814
growth for 06/07	17,138,152				74,405,185			91,543,337
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growth for 12/13							102,275,815	102,275,815
growth (general)	2,670,413						13,748,615	16,419,028
<b>Total</b>	<b>1,658,454,920</b>	<b>1,629,011,635</b>	<b>1,420,042,920</b>	<b>1,365,852,335</b>	<b>1,479,738,503</b>	<b>1,724,575,703</b>	<b>1,847,689,432</b>	<b>11,125,365,448</b>
Base compared to PRIOR YEAR		(29,443,285)	(208,968,715)	(54,190,585)	113,886,168	244,837,200	123,113,729	
% of change from PRIOR YEAR		-1.78%	-12.83%	-3.82%	8.34%	16.55%	7.14%	

\*Based on Theory vs Reality worksheet

**QUICK LOOK MODEL**

BASE YEAR	2015-16					
	Percent of anticipated change in comparison to the last recession	100%	80%	100%	100%	75%

FISCAL YEAR	FY 2015-16	FY 2016 - 17	FY 2017 - 18	FY 2018 - 19	FY 2019 - 20	FY 2020 - 21	FY 2021 - 22	Total
PERCENT OF CHANGE		-1.78%	-10.26%	-3.82%	8.34%	12.41%	7.14%	
Base	1,658,454,920	1,658,454,920	1,629,011,635	1,461,836,663	1,406,051,177	1,523,289,166	1,712,321,478	11,049,419,960
Increase/ Decrease per Trend		(29,443,285)	(167,174,972)	(55,785,486)	117,237,989	189,032,312	122,238,926	176,105,485
Caseload Growth (1991)								0
Growth								0
<b>TOTAL</b>	<b>1,658,454,920</b>	<b>1,629,011,635</b>	<b>1,461,836,663</b>	<b>1,406,051,177</b>	<b>1,523,289,166</b>	<b>1,712,321,478</b>	<b>1,834,560,405</b>	<b>11,225,525,445</b>



# ANTICIPATED REALIGNMENT RECEIPTS

